



PTO-1390 (Rev. 10-2004)

Approved for use through 03/31/2007 OMB 0651-0021

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ATTORNEY'S DOCKET NUMBER
09867/0201848-US0
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/510,185

PRIORITY DATE CLAIMED	28 July 2003
-----------------------	--------------

APPLICANT(S) FOR DO/EO/US	Ryoji Hayashi
---------------------------	---------------

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98; PTO/SB/08A; ISR and three documents.
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	<input type="checkbox"/>	A preliminary amendment.
14.	<input type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.
15.	<input type="checkbox"/>	A substitute specification.
16.	<input type="checkbox"/>	A power of attorney and/or change of address letter.
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18.	<input type="checkbox"/>	A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.	<input type="checkbox"/>	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20.	<input checked="" type="checkbox"/>	Other items or information: Certificate of Express Mailing Under 37 CFR 1.10; Check in the amount of \$40.00; Return Receipt Postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/510,185		INTERNATIONAL APPLICATION NO. PCT/JP2004/010978		ATTORNEY'S DOCKET NUMBER 09867/0201848-USO	
--	--	---	--	--	--

21. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):
 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1110.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$950.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$790.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). **\$750.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	-20 =		x	\$	
Independent claims	-3 =		x	\$	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	
TOTAL NATIONAL FEE =				\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 40.00	
TOTAL FEES ENCLOSED =				\$ 40.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

CALCULATIONS PTO USE ONLY

a. ☒ A check in the amount of \$ 40.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Joseph R. Robinson
 DARBY & DARBY P.C.
 P.O. Box 5257
 New York, New York 10150-5257
 (212) 527-7783

CUSTOMER NUMBER: 07278

SIGNATURE: _____
 Joseph R. Robinson

NAME _____

33,448

REGISTRATION NUMBER _____



Application No. (if known): 10/505,704

Attorney Docket No.: 02482/0201753-US0

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. _____ in an envelope addressed to:

EV382051185US

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on November 17, 2004
Date

A. Stantini

Signature

A. Stantini

Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages) - Second Submission
Combined Declaration/Power of Attorney (3pp);
Assignment (2pp);
Recordation Form Cover Sheet (1 page);
Second Preliminary amendment;
Return receipt postcard and
Check in the amount of \$170.00. **#6760**

Attorney Docket No.: 09867/0201848-US0

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. _____ in an envelope addressed to: _____

EV 38205118543

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on November 17, 2004
Date

A. Stantini
Signature
A. Stantini

Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pp) –
2nd submission

Information Disclosure Statement (2 pp); PTO/SB/08A (1 pg); ISR with three documents

Executed Declaration and Power of Attorney (3 pp)

Recordation Form Cover Sheet (1 pg) w/Assignment (2 pp)

Check No. 10765 in the amount of \$40.00

Return Receipt Postcard